Application Document

for

Prequalification of Engineering, Procurement and Construction (EPC) Contractors for Construction and Execution of the works related to Rehabilitation and Improvement of water supply scheme in Zanzibar, Tanzania

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Export-Import Bank of India
Date: November 26, 2018

INVITATION FOR PREQUALIFICATION OF EPC CONTRACTORS FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export – Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consulting and non-consulting services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time to time. As per the extant guidelines issued vide letter no No.21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the Line(s) of Credit (LOCs).

In pursuance to the above, the Bank invites Applications from eligible Indian entities for prequalification of EPC Contractors for Construction and Execution of the works related to Rehabilitation and Improvement of water supply scheme in Zanzibar – Tanzania, under the Line of Credit extended to the Government of Tanzania.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant Name	*<Applicant Name>*
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	AXIS BANK, CUFFE PARADE
[iv]	Account No.	447010200000268
[v]	IFSC Code	UTIB0000447
[vi]	GST Number	27AAACE2769D1ZS

The preparation and submission of Application for prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours, December 26, 2018 at the following address:

Mrs. Amita Dang
Assistant General Manager
Export-Import Bank of India
7th Floor, Office Block 1,
East Kidwai Nagar
New Delhi 110023
Phone: 011- 24607754

This Application Document [AD] consists of the following Parts:

Part I. Scope of EPC

This Part includes a summary description and other data on this pre-qualification process and of the prospective project to be contracted by the Borrower Government / Procuring Entity subsequent to this pre-qualification.

Part II. Instructions to Applicants (ITA)

This Part provides information to the Applicants in preparing and submitting their applications for Prequalification ("Applications") and the procedures for this prequalification process.

Part III. Data Sheet (DS) to ITA

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

Part IV. Prequalification Criteria and Requirements

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by Borrower Government/ Procuring Entity.

Part V. Forms

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

Part VI Questionnaire for Applicants

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

Application Document

For

Prequalification of Engineering, Procurement and Construction (EPC) Contractors for Construction and Execution of the works related to Rehabilitation and Improvement of water supply scheme in Zanzibar, Tanzania

Invitation for Pre-Qualification
No.: GOILOC-254/Tanzania/EPC-28

Issued on: November 26, 2018

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PART I: SCOPE OF EPC

The Export-Import Bank of India (Exim Bank), on behalf of the Government of India (GOI) has approved financing the project out of a Line of Credit (LOC) extended to the Government of Tanzania. The scope of project includes: Construction and Execution of the works related to Rehabilitation and Improvement of water supply scheme in Zanzibar, Tanzania, for which Exim Bank now seeks applications for prequalification from eligible Indian entities. Refer Part II ITA 4 for eligibility provisions.

A] Background:

Zanzibar is a semi-autonomous region of Tanzania in East Africa. It is composed of the Zanzibar Archipelago in the Indian Ocean, 25–50 kilometres off the coast of the mainland, and consists of many small islands and two large ones: Unguja (the main island, referred to informally as Zanzibar) and Pemba Islands. The capital is Zanzibar City, located on the island of Unguja.

Although the islands receive high rainfall and have aquifer systems containing considerable groundwater resources due to favourable infiltration conditions of the covering geological formations, the water resources are limited. As islands are totally surrounded by marine water a delicate balance between freshwater and saltwater exists, where saltwater underlies a fresh water lens maintained by the rain water/surface water infiltration. That means that saltwater will be found everywhere on the islands, in the coastal zone at shallow depth and inland at depths determined by the amount of infiltration, the hydrogeological conditions and the relative densities of saltwater and freshwater. Any groundwater extraction will create a new balance and if over exploitation is taking place the result will be saltwater intrusion in the coastal zone and a raise in the saltwater level inland.

Zanzibar Water Authority (ZAWA)

The Zanzibar Water Authority (ZAWA) established under Act. No. 4 of 2006, is a semi-autonomous entity with the overall management of water supply services and Water resources management in Zanzibar. As per the internal assessment of ZAWA, the anticipated beneficiaries on the island are 1,161,915 people with an average annual growth rate of 3.5% based on 2002 population census. The overall national coverage of water is currently at 65% at an average of daily service of 12 hrs.

B.] Location:

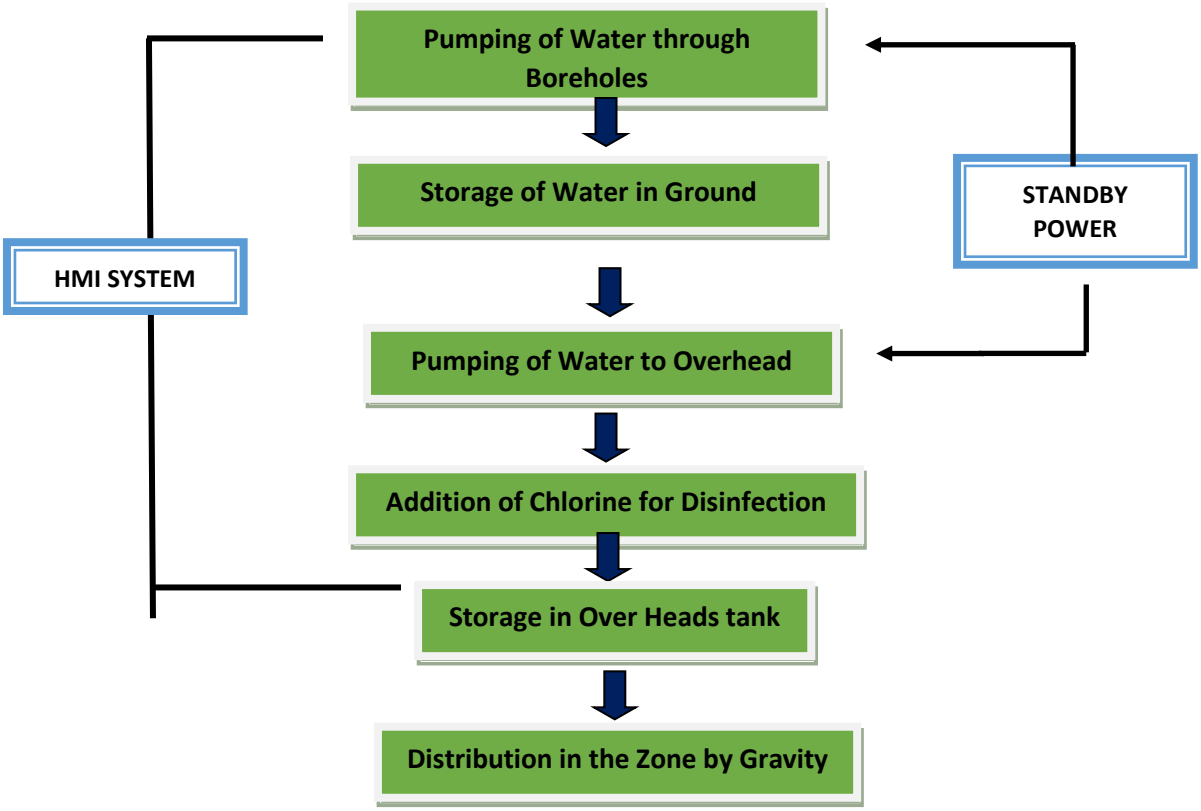
The project area is located on the main Unguja island in four designated zones viz. Mfenesini Zone, Kizimbani Zone, Tunguu Zone and Fumba Zone divided into 7 schemes as under:

Sr. No.	Zone	Scheme	Ward / Shehia
1	Mfenesini Zone	Mfenesini Scheme	Kama, Mfenesini, Mwakaje, Chuini, Mbuzini Kihinani and Bumbwisudi.
2	Kizimbani Zone	Dole Scheme	Dole, Kizimbani, Bububu, Mwanyanya, Kibweni and SharifuMsa

Sr. No.	Zone	Scheme	Ward / Shehia
		Masingini Scheme	Masingini, Mtofaani, Kianga and Mwera
3	Tunguu Zone	Mkorogo Scheme	Ubago, Jumbi, Tunguu and Fuoni Kibondeni
		Kidutani Scheme	Fuoni Kijitoupele, Pangawe, Kinuni, Magogoni, Mwanakwerekwe, Meli Nne and Tomondo
4	Fumba Zone	Maungani Scheme	Maungani, Kisauni, Shakani, Nyamanzi and Kombeni
		Dimani Scheme	Dimani, Bweleo and Fumba

C.] Description of the Works

The design philosophy adopted for the scheme shall be as under:



D.] Components of the Project

I. Boreholes:

The proposed boreholes along with pumps in each scheme to be drilled under this project are as under:

S. No.	SCHEME	ANTICIPATED YIELD		ANTICIPATED NUMBER OF ADDITIONAL BOREHOLES
		m3 /hour	Litres per second	

1	MFENESINI SCHEME	108	30	10
2	DOLE SCHEME	144	40	8
3	MASINGINI SCHEME	90	25	10
4	MKOROGO SCHEME	90	25	8
5	KIDUTANI SCHEME	180	50	14
6	MAUNGANI SCHEME	90	25	9
7	DIMANI SCHEME	90	25	5

II. Transmission Main from Boreholes To Raw Water Storage Tanks

DI pipe has been proposed for the pipeline for the transmission main for seven schemes. The total length of the pipeline for each scheme is as under:

S. No.	Scheme	Length (m)
1	MFENESINI SCHEME	3900
2	DOLE SCHEME	4550
3	MASINGINI SCHEME	700-1700
4	MKOROGO SCHEME	1670
5	KIDUTANI SCHEME	6550
6	MAUNGANI SCHEME	1500
7	DIMANI SCHEME	1175

III. Raw Water Storage Tank

Each scheme shall have a raw water storage tank which would be resting partially underground and partially on the ground. The proposed storage tanks shall be of RCC. The proposed capacities of the tanks are:

S. No.	SCHEME	ANTICIPATED WATER REQUIREMENT (MLD)	RAW WATER STORAGE TANK	
		By Year 2051	Capacity (MLD)	Dimensions (m x m x m)
1	MFENESINI SCHEME	29.77	15.0	78.0 x 39.0 x 5.50
2	DOLE SCHEME	27.30	14.0	74.0 x 37.0 x 5.50
3	MASINGINI SCHEME	27.05	14.0	74.0 x 37.0 x 5.50

4	MKOROGO SCHEME	19.40	9.0	60.0 x 30.0 x 5.50
5	KIDUTANI SCHEME	64.85	32.50	115.0 x 57.5 x 5.50
6	MAUNGANI SCHEME	22.56	12.0	68.0 x 34.0 x 5.50
7	DIMANI SCHEME	8.76	4.50	55.0 x 27.5 x 3.50

IV. Pumping Arrangement to Fill Distribution Tank

At each raw water storage tank, the pumping machinery shall be installed to pump the water from the tank to the overhead distribution tank.

The rising main has been designed to take the water from the pump manifold to the overhead tank.

V. Chlorination Arrangement

Powder chlorination is proposed to be used for the treatment of the water.

VI. Overhead Tank for Distribution

Each scheme shall have a clear water overhead tank which would be designed to a staging height to provide the distribution system with adequate residual head. The site of the overhead tank would be as near to the highest elevation in the scheme as possible. This would provide the advantage of the topography for the residual head. The tanks shall be constructed to meet the water requirements up to the year 2051 (Project Design period).

The proposed storage tanks shall be of RCC and with circular dimensions.

VII. Distribution

The components of the distribution system have been designed considering the following main parameters:

ANTICIPATED PIPELINE DIAMETERS FOR DISTRIBUTION IN THE SCHEMES										
S. No.	**Pipeline Diameter (75 – 945 mm)**	**Pipe Material – DI/HDPE**	**Name of Schemes**							**Total Length (m)**
			MFENESINI SCHEME	**DOLE SCHEME**	**MASINGINI SCHEME**	**MKOROGO SCHEME**	**KIDUTANI SCHEME**	**MAUNGANI SCHEME**	**DIMANI SCHEME**	

ANTICIPATED PIPELINE DIAMETERS FOR DISTRIBUTION IN THE SCHEMES										
S. No.	Pipeline Diameter (75 – 945 mm)	Pipe Material – DI/HDPE	Name of Schemes							Total Length (m)
			MFENESINI SCHEME	DOLE SCHEME	MASINGINI SCHEME	MKOROGO SCHEME	KIDUTANI SCHEME	MAUNGANI SCHEME	DIMANI SCHEME	
1	945	DI					1,546			1,546
2	842	DI					727			727
3	635	DI	7,183	382	1,801					9,366
4	532	DI	2,793		6,348	496	914			10,561
5	450	HDPE	2,045	1,457	1,796	4,763	2,103	2,732		14,896
6	400	HDPE	11,899	1,576		11,807	5,707	2,172	6,332	39,493
7	315	HDPE	5,128	1,731	3,185	9,828	1,014	2,964	3,152	27,002
8	250	HDPE			2,944		5,259	9,971		18,174
9	200	HDPE	14,875	3,598	2,504	1,283	2,834	3,935	7,910	36,939
10	160	HDPE	17,191	14,923	7,490	5,045	8,045	3,699	4,489	60,882
11	110	HDPE	17,176	14,359	13,033	6,406	12,644	11,506	6,325	81,449
12	75	HDPE	32,157	26,332	13,981	19,976	31,025	22,139	15,103	160,713
	TOTAL		107,654	67,151	46,734	65,456	71,400	60,032	43,311	461,738

VIII. Human Machine Interface (HMI) System

The basic automation scheme comprises of the field instruments and Programmable Logic Controller [PLC] for better monitoring and control of the various parameters like flow, pressure, and level at 7 locations namely Dole, Masingini, Mkorogoro, Kidutani, Maungani, Dimani and Mfenesini.

There will be dedicated PLC+HMI at each location of the 4 zones namely Kizimbani, Tunguu, Fumba and Mfenesini. The zone 1 has two schemes namely Dole and Masingini and will have two dedicated PLC + HMI and will be communicating with each through the Fibre optic communication. The scheme details will be available at each HMI for both the schemes and the operator will be able to monitor and control the operation through the same. The similar arrangement will be there for Zone 2 having two schemes namely Mkorogoro and Kidutani and Zone 3 having two schemes namely Maungani and Dimani. The zone four has single scheme only named as Mfenesini.

The system will have UPS at each PLC location having minimum 30 minutes backup for smooth operation of the process will be provided in case of power failure.

There will be two flow transmitters at each scheme namely one prior to surface tank and one prior to overhead tank. There will be two level transmitters at each scheme namely one at surface tank & one at overhead tank.

There will be one pressure gauge at discharge of each bore well pump and one at common discharge header of bore well pump at each location. There will be one pressure gauge at suction and discharge of each surface pump and one at common discharge header at each location.

There will be one chlorine analyser installed after chlorination system in order to check the residual chlorine value and based on the same the dosing rate will be controlled through auto dosing pump.

The PLC + MCC (Motor Control Centre) panels will be installed in closed room constructed out of RCC (Reinforced Cement Concrete) near to the surface pumps at each location.

IX. House Connections

It is proposed that all the households would be provided with service connections for water metering.

The anticipated house connections are as under:

ANTICIPATED HOUSE CONNECTIONS FOR THE SCHEMES									
S. No.	Pipeline Diameter (mm)	Name of Schemes							Total
		MFENESINI SCHEME	DOLE SCHEME	MASINGINI SCHEME	MKOROGO SCHEME	KIDUTANI SCHEME	MAUNGANI SCHEME	DIMANI SCHEME	
1	50/75 mm	10,000	10,000	8,000	7,500	32,500	6,500	1,500	76,000

X. Office Building

It is proposed to construct a Corporate Office Building for ZAWA under this project. The office building shall be five storey building of about 4000 sq.m. It is proposed to construct a five storey high corporate office for ZAWA. The land for the office complex has been identified for construction. The office building shall have a laboratory for testing of water samples in the future.

The building along with all fixtures, furniture, power back up, etc has been considered for construction under this project.

XI. Equipment Procurement

Post construction, for a better operation and maintenance of the system, it is proposed to strengthen the ZAWA office building with adequate machinery and equipment so that they are able to work on the system in an efficient manner.

It is proposed to equip the office of ZAWA with the following equipment:

- Complete set up of a water testing and sampling laboratory.
- Vehicles for the Officials of ZAWA.
- Dumpers for work maintenance
- Cranes for pipe lifting and placing.
- Computers and related accessories in office.
- Adequate spares of pipe material, pumping machinery and other electrical installations.
- Back up power arrangement through DG sets.
- Procurement of software and training to ZAWA Officials.

E.] Structure Of The Construction Work:

The project will have supply of pipes and fittings, civil works and laying of pipelines for the main gravity line and the distribution networks. The implementation of the project works in general require coordinated efforts and proper planning for each activity to avoid or minimize delay in the completion of the implementation of the project as planned and to the required quality of facilities as per the set specifications for this purpose.

The project is being executed as three separate contract packages. The proposed packages for the work are as under :

S. No.	PACKAGE / LOT	SCHEMES / WORKS
1	PACKAGE 1	Mfenesini Scheme, Dole Scheme
2	PACKAGE 2	Mkorogo Scheme, Manigani Scheme and Dimani Scheme
3	PACKAGE 3	Kitudani Scheme, Masingini Scheme and Construction of Office Building (with related equipment)

This common Application Document for Pre-qualification is applicable for all three packages distinctly. The Applicant may apply through a single Application for any or all the 3 packages and clearly indicate the Package(s) applied for in the Application Submission form. Subsequent to prequalification, the Borrower may undertake bidding for the three packages separately.

The pre-qualification of the contractors shall be done for the three packages. However, it may be noted that all the three packages will not necessarily be awarded to the same contractor.

G] Contract Period

The duration of each package under the project is expected as under:

Package I: 18 months.

Package II: 16 months.

Package III: 20 months.

H] GOI Guidelines

As per the GOI guidelines, goods, works and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above for details available at: http://www.eximbankindia.in/sites/default/files/C.pdf

Part II. Instructions to Applicants [ITA]

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Part II. Instructions to Applicants

A. General

1. Background

1.1 The Export-Import Bank of India (hereinafter called "the Bank"), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called "Lines of Credit") under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called "Borrower or Employer"), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.

2. Scope of Pre-qualification

2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (**DS**), this Application Document ("Application Document") is being issued by the Bank to prospective applicants ("Applicants") interested in submitting applications ("Applications") for prequalification as per the scope described in Part I, Scope of Project and subject to the provisions of this Application Document.

3. Ethics and Integrity

3.1 The Bank requires Applicants to possess a track record of highest standards of integrity and ethics free from any currently subsisting, or prospective, documented/ recorded sanction by any Multilateral Development Agency, any authority in India or any borrower country (for collusive, corrupt and / or fraudulent practices). In pursuance of the foregoing, it is strictly forbidden to the parties involved in the current, past or prospective prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise, as offsets for prequalification, if susceptible of being qualified as criminal or unlawful acts in accordance with the extant laws or procedures.

3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has committed collusive, corrupt, fraudulent, or unethical practices, the Bank, inter alia, shall:

(a) reject any Application for prequalification or proposal to award a

contract in connection with the subsequent procurement process, as applicable; and/or

(b) declare an Applicant involved in corrupt practices, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

4. Eligibility of Applicants

4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.

4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's/ JV Member's Information Form), for any part of the prospective contract(s) including related services.

4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV so as to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.

4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.

4.5 An Applicant that has been debarred/ sanctioned in pursuance of ITA 3.1, including debarment/ sanction by any government procuring entity/ corporation or Multilateral Development Agencies (MDA) in accordance with extant rules and procedures, shall be ineligible to be prequalified, during such period of time as the Bank/ sanctioning authority shall have determined. Subsequent to the deadline for Application submission, in case the Applicant is debarred/sanctioned, the Applicant shall immediately inform Exim Bank of such debarment/sanction and reasons thereof.

4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an

exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.

4.7 An Applicant shall be ineligible to be prequalified if it has defaulted on any loan to any Bank/ Financial Institution (FI) as per Central Repository of Information on Large Credits (CRILC) database or otherwise or its account has been classified as Non-Performing Asset (NPA) with any Bank/FI or their companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed) or Credit Information Bureau India Ltd. (CIBIL) Defaulter List, updated from time to time. On being included in any of the above lists/ or any event of default post the prequalification process, the Applicant shall immediately inform Exim Bank on the inclusion and reasons for inclusion thereof.

4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

B. Composition of the Application Document

5. Contents of this Document

5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.

- Part I. Scope of Project
- Part II. Instructions to Applicants (ITA)
- Part III. Data Sheet (DS) to ITA
- Part IV. Prequalification Criteria and Requirements
- Part V. Forms
- Part VI. Questionnaire for Applicants

5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

6. Clarifications

6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the DS. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and

corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.

6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

7. Corrigendum of Application Document

7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.

7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.

7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation, Submission and Opening of Applications

8. Cost towards Application

8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

9. Language

9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.

10. Documents constituting the Application

10.1 The Application shall comprise the following:

(a) Application Submission Form, in accordance with ITA 11.1;
(b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
(c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
(d) any other document required as specified in the **DS**.

11. Application Submission Form

11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.

12. Documents Evidencing the Applicant's Eligibility

12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).

13. Documents Evidencing the Applicant's Qualifications

13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.

13.2 If an Applicant does not manufacture or produce the goods it offers to supply, it shall submit documentation related to Manufacturer's Authorization as specified in the **DS.**

13.3 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:

(a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation;
(b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the **DS**;
(c) for data related to Liquidity, Annual Residual Contract Value and contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent; and
(d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the DS.

14. Authorized Signatory and Number of Copies

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

15. Preparing the Application Envelope

15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:
(a) be addressed to the Bank, as per ITA 16.1;
(b) mention the name and address of the Applicant; and
(c) mention the specific identification of this prequalification

process indicated in the **DS** ITA 2.1.

15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

16. Application Submission Deadline

16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the DS.

16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

17. Late Applications

17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

18. Opening of Applications

18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

D. Procedures for Evaluation of Applications

19. Evaluation to be Confidential

19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.

19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

20. Clarifications during Evaluation

20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.

20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

21. Determination of Responsiveness of Applications

21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

E. Evaluation of Applications and Pre-qualification of Applicants

22. Evaluation of Applications

22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.

22.3 Only the qualifications of the Applicant, in the capacity as prime contractor/ member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or other affiliated company that is not party to the Applicant under a JV in accordance with ITA 4.3 shall not be considered.

22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/ promoter/ group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered. However, the experience of the SPV can be considered in favour of the Applicant provided it is established through documentary evidence that the SPV was established by the Applicant pursuant to a concession granted by a Project Authority who is unrelated to both the Applicant and the SPV and the Letter of Acceptance of bid/ Letter of Award was issued by the Project Authority in favour of the Applicant. Further, the FAC issued by the Project Authority should indicate that the project was executed by the Applicant as an EPC contractor.

23. Bank's Right to Accept or Reject Applications

23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

24. Pre-qualification of Applicants

24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.

25. Notification of Pre-qualification

25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.

25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.

26. Subsequent Invitation for Bids

26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of bids.

Part III. Data Sheet (DS) to ITA	
A. General	
ITA 2.1	The identification of the Invitation for Pre-qualification [IFP] is: **GOILOC-254/Tanzania/EPC-28** The Bank is: **Export-Import Bank of India** 7th Floor, Office Block 1, East Kidwai Nagar New Delhi 110023 Phone: 011- 24607700 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in
ITA 4.3	**The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%**. The maximum number of other members in the JV shall be such that each of the other members should have at least **20%** share. The JV can be either an incorporated JV company or an unincorporated consortium/ association [JVCA] based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract [in terms of percentage] being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above. The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following: - Names of the Lead Partner and each of the other members - Percentage share of each JV member - Confirmation of Joint and Several Liability of all members of the JV The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified EPC Contractors and non–prequalified EPC Contractors or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process. The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution. In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the account of the JVCA and not to the account of individual member(s). The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.
ITA 4.6	A list of debarred firms and individuals by: the World Bank is available on the website:

	http://www.worldbank.org/debarr The Asian Development Bank is available on the website: http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&count=999 the African Development Bank is available on the website: http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/ the European Bank for Reconstruction and Development is available on the website: http://www.ebrd.com/pages/about/integrity/list.shtml the Inter-American Development Bank is available on the website: http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html

B. Contents of the Application Document	
ITA 6.1	For **clarification purposes,** the Bank's address is: Mrs. Amita Dang Assistant General Manager Lines of Credit Group 7th Floor, Office Block 1, East Kidwai Nagar New Delhi 110023 Phone: 011- 24607754 Email: eximloc@eximbankindia.in Website: www.eximbankindia.in
C. Preparation, Submission & Opening of Applications	
ITA 10.1 (d)	The preparation and submission of Application Form will be in dual mode (on-line as well as off-line). **i) <u>On-line mode of Application</u>** The applicant shall submit the application through Exim Bank's portal **<u>https://locprocure.eximbankindia.in</u>** For details for submitting Online Application, please refer the above portal. **<u>Minimum Requirements:</u>** 1. Computer/Laptop with Internet connection. 2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit. 3. Java Version: 1.8 or above 4. Mozilla version should be 45.0 and above (x86 only i.e OS of 32 Bit Version) 5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.

	For any Online technical clarification, kindly contact: Bob E Procure Pvt. Ltd. 3 / 4, 3rd Floor, Maruthi Towers, Madivala, SBM, Bangalore Karnataka – 560069 Contact Person: Ms. Alka Pillai Mobile: +91-9148982205 +91-9148998467 Email: pqsupport@bobeprocure.net **ii) Off-line mode of Application** The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No. The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form: The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached. In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents. **Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.**
ITA 13.2	Undertaking related to Manufacturer's Authorisation in accordance with Form E.4.1 included in Part V of this Application Document.
ITA 13.3(a)	The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (https://www.fbil.org.in/) In case a particular currency rate is not published by Reserve Bank of India, then the selling rate of such currency shall be taken from the following website: http://www.oanda.com

<table>
<tr><td>ITA 13.3(b) & (d)</td><td>Applicants shall use the following rates for conversion between USD and INR:
<table>
<tr><th></th><th>USD 1 = INR</th><th>1 INR = USD</th></tr>
<tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr>
<tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr>
<tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr>
<tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr>
<tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr>
<tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr>
<tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr>
<tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr>
</table></td></tr>
<tr><td>ITA 13.3(c)</td><td>Applicants shall use the following rates for conversion between USD and INR:
<table>
<tr><th>USD 1 = INR</th><th>1 INR = USD</th></tr>
<tr><td>71.178</td><td>0.0140</td></tr>
</table>
*as on November 22, 2018</td></tr>
<tr><td>ITA 14.1</td><td>Additionally, one (1) copy in Pen drive shall also be submitted.

The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</td></tr>
<tr><td>ITA 16.1</td><td>Submission of Application is required in dual mode i.e. on-line as well as off-line as mentioned in ITA 10.1(d).

The deadline for Application submission is:

Date: December 26, 2018

Time: 15:00 hrs

For application submission purposes only, the Bank’s address is:

Bank’s address is the same as that indicated in 2.1

Attention: Mrs. Amita Dang, Assistant General Manager</td></tr>
</table>

ITA 18.1	The opening of the Applications shall be at the Bank's address as that indicated in ITA 2.1 **Date: December 26, 2018** **Time: 15:30 hrs**

Part IV. Pre-qualification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

Contents

A	**General**	**30**
B	**Past Contract Performance and Litigation**	**31**
C	**Financial Status and Capacity**	**32**
D	**Experience**	**34**
E	**Quality Assurance**	**38**

A. General

A.1 Nationality	
Requirement:	➢ The Applicant's Nationality shall be in accordance with ITA 4.4 ➢ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted	Form A.1.1 and A.1.2
Attachments to be submitted	➢ Articles & Memorandum of Association, Certificate of Incorporation/Partnership Deed of the Applicant (and each of the JV members) in accordance with ITA 4.4 ➢ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV.

A.2. Eligibility	
Requirement:	➢ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7. ➢ Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Application Submission Form
Attachments	➢ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached. ➢ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Power of Attorneys for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents. ➢ For an Applicant as a single entity or in case of JV, for each member: • Permanent Account Number [PAN]: Self attested copy • TIN & GST Registration details, as applicable: Self attested copy • Screening Committee Approval of Project Exports Promotion Council of India - PEPC [MOCI].

B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	• There shall be no instance of contract[1] non-performance as a result of Applicant's default since **November 01, 2013** (in case of JV, each member shall meet the requirement). • The Applicant shall inform Exim Bank of any such instances post submission of the Prequalification Application. • Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	➢ Applicant shall have a positive* adjusted net worth determined as per the latest audited financial statements for the financial year (FY 2017-18) and the contractual litigation value as considered below net of provision made in the audited financial statements for FY 2017-18: ○ Litigation value shall be deemed to be 25% of the aggregate value pending litigation across all contracts and net of provisions, this value shall be deducted from the net worth to arrive at the adjusted net worth. ➢ Documentation and Attachments to be submitted as mentioned below. ➢ In case of JV, each member shall meet the requirement. **Note: Networth – [25% of Litigation Value – provisions already made] = positive*
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

1 Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

B.3 Contract Litigation History	
Requirement:	• The aggregate amount of awards against the Applicant, if any, since **November 01, 2013** shall not exceed 25% of the net worth as per the latest financial statements. • Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

C. Financial Status and Capacity

<table>
<tr><td>C.1 Financial Status</td><td></td></tr>
<tr><td>Requirement:</td><td>(i) The audited balance sheets and profit & loss account/ annual report for the last 5 financial years FY 2013-14 to FY 2017-18 shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:

a. Positive net worth for each of the last five (5) financial years defined above;

b. Profit (i.e. Profit Before Tax) for at least two (2) out of last five (5) financial years defined above;

c. Annual EPC Revenue[2] in any one (1) of the last five (5) financial years as defined above, be not less than as under
<table>
<tr><th>Package 1</th><th>Package 2</th><th>Package 3</th></tr>
<tr><td>USD 17.99 million</td><td>USD 18.69 million</td><td>USD 20.94 million</td></tr>
</table>
In case of JVCA, requirement [a] and [b] above shall be met by each member and requirement under [c], each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.

(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, lines of credit and other financial means [independent of any contractual advance payment] sufficient to meet the project cash flow requirements of ongoing contracts, including the</td></tr>
</table>

[2]In case of Contracts in INR, the exchange rate of 31st March of the financial year in which the contract was signed will be used to arrive at the USD equivalent.

<table>
<tr><td></td><td>present contract, net of the Applicants' other commitments. Minimum required liquidity[3] for execution of the contract be not less than as under:
<table>
<tr><th>Package 1</th><th>Package 2</th><th>Package 3</th></tr>
<tr><td>USD 4.50 million</td><td>USD 4.67 million</td><td>USD 5.24 million</td></tr>
</table>
In case of JVCA, for requirement (ii) above, each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.

(iii) In order to qualify for multiple packages, Applicant shall meet aggregate of the requirements stipulated for (i)(c) and (ii) above for each such packages.

(iv) Documentation and Attachments to be submitted as mentioned below</td></tr>
<tr><td>Documentation to be submitted:</td><td>Form C.1.1 and Form C.1.3 both certified by the Statutory Auditor of the Applicant [in case of JV, for each member of the JV]
Form C.1.2 [in case of JV, for each member of the JV]</td></tr>
<tr><td>Attachments to be submitted:</td><td>The Applicant and its parties shall provide copies of audited financial statements for the last five (5) years defined above.
The financial statements shall:
a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity [such as parent company or group member].
b) be independently audited and certified by the <u>Statutory Auditor / Chartered Accountant</u> in accordance with applicable legislation.
c) be complete, including all notes to the financial statements.
d) correspond to accounting periods already completed and audited.
Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of the PQ Application.</td></tr>
</table>

[3]Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

C.2 Value of ongoing contracts	
Requirement	• Aggregate annual residual value[4] of all ongoing contracts shall not be more than 350% of the highest annual turnover in last five (5) years defined above. In case of JV, this shall apply to each member. • Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form C.2.1(Certified by a Chartered Accountant)
Attachments to be submitted:	None

D. Experience

D.1 General Experience	
Requirement:	• Experience in Design Engineering/ Construction/ EPC contracts, in Water Sector Projects, in the role of prime contractor, or JV member, in India during the last seven (7) years, starting **November 01, 2011 and up to application submission deadline** (in case of JV, each member shall meet the requirement) • Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	➢ Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority. ➢ In case the contracts listed in D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: ❖ Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and ❖ Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant;

[4]Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply to ascertain residual value in equivalent USD [Refer ITA 13.3(c)].

	➢ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work. ➢ Subcontractors' experience and resumes from all places, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.

D.2 Specific Experience	**Refer Part I: Scope of EPC for details**
Requirement:	A. At least the number and value of EPC contracts in Water Pipeline Projects as mentioned below, in the role of prime contractor or JV member[5] to the main client/project employer, completed between **November 01, 2011 and application submission deadline**:

Package 1:

	No. of Contracts	**Length of Pipeline laid for Each Contract (Kms)**
Option I	3	70.00
	OR	
Option II	2	87.50
	OR	
Option III	1	140.00

Package 2:

	No. of Contracts	**Length of Pipeline laid for Each Contract (Kms)**
Option I	3	66.00
	OR	
Option II	2	82.50
	OR	
Option III	1	132.00

Package 3:

	No. of Contracts	**Length of Pipeline laid for Each Contract (Kms)**
Option I	3	48.00
	OR	
Option II	2	60.00
	OR	
Option III	1	96.00

[5]Experience shall be considered on basis of scope of work of the Applicant in that JV for the contract under reference.

	B. The EPC scope under the above contracts shall demonstrate experience involving the following: **For all Packages:** a) Experience in laying of MS/DI/HDPE/UPVC/DCW Pipeline. b) The experience must include at least 1 contract in laying of MS/DI Pipeline. c) Experience in construction of Water Storage Tank / Overhead Tank of minimum capacity of 3000 KLs; **For Package 3:** d) In addition to experience as mentioned in (a) to (c) above, experience of Building Construction of 5 storey building of area more than 3000 sq. mt. C. The Applicant shall submit the completion certificate as document of proof for completion of the similar nature of work and mention the date and cost of completion of the work. D. In case of JVCA, requirement shall be met by the JVCA on a combined basis i.e. contract values of each member shall not be aggregated but number of contract(s) by individual members meeting the value fully and (i) all the technical aspects listed in (a) to (c) above for packages 1 & 2; and (ii) all the technical aspects listed in (a) to (d) above for package 3 can be aggregated to meet the requirement on number of contracts. In addition to the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the value indicated under Option I above (of the respective package) demonstrating experience similar to the technical aspects listed in (a) to (c) above for Packages 1 and 2; and at the technical aspects listed in (a) to (d) for Package 3 above. E. Subcontractors' experience, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance. F. In order to qualify for multiple packages, Applicant shall meet the following requirements: ➢ This prequalification exercise shall be for multiple

	contracts. The Employer will prequalify Applicants for each contract separately. If an applicant submits applications for multiple contracts evaluation will include an assessment of the bidders' capacity to meet aggregated requirements regarding the followings: • Financial status under C1: Turn over and Cash flow requirements • Specific Experiences under D.2 • Human resources and Equipment requirement under E2 Evaluation will be made based on the priority indicated by the Applicant for different contracts. Accordingly, the employer will check for one, two or more contracts whether the criteria/ multiple criteria fulfilled or not. So, the applicant shall clearly indicate their priority on which they wished to be prequalified. Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form D.2.1 and Form F
Attachments to be submitted:	➢ Final Acceptance/ Completion Certificate/ Testimonial Letter issued by the Project Authority for each contract listed in Form D.2.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority. ➢ In case the contracts listed in D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: ❖ Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and ❖ Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant ➢ In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.

E. Quality Assurance

Result of evaluation to the following criteria may lead to full prequalification or conditional prequalification

E.1 Certified Management System	
Requirement:	ISO Accreditation / Certified Quality Management System
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of ISO Accreditation / Quality Management System Certificate valid as on the deadline for submission of application

E.2 Contractor's Equipment	
Requirement:	**For all Packages:** The Applicant must confirm that it can avail and deploy following key tools & plants/equipment, for execution of the scope of work as defined in Part I :

Sr. No.	**Equipment type & Characteristics**	**Minimum number required**
1	Hydraulic Excavators 10t to 35t	02
2	Hydraulic Excavators 5t to 10t	06
3	Compressors + Jack Hammers 5,000l/min	02
4	Dump Trucks/ Tippers 7t to 10t	08
5	Flatbed Trucks 7t to 10t	04
6	Concrete Mixers 15hp 0.5 m3	02
7	Vibrating Poker 35 mm to 65 mm	02
8	Dewatering Pumps 50 mm	06
9	Vibrating Plate Compactor 0.4t	02
10	Hydrostatic Pressure Testing Equipment	04
11	Horizontal Directional Drilling Rig	02
12	Medium Duty Generator 8 KVA	04

Note: The above list of equipment is an indicative

	***list. The complete list of equipment would be made available at Tender stage*.** Documentation and Attachments to be submitted as mentioned below
Documentation to be submitted:	Form E.2.1
Attachments to be submitted:	None

E.3 Skilled Human Resources	
Requirement:	**For all Packages:** ➢ Applicant shall indicate that it can deploy personnel for the key positions (for each package) that meet the following requirements:

No.	**Position**	**Nos**	**Qualification**	**Experience in similar work (years)**
1	Project-in-Charge	1	Bachelor of Civil engineering (B.E – Civil)	20
2	Project Manager	2		15
3	Deputy Project Manager	4	Bachelor of Civil/Mechanical engineering	10
4	Water Supply Expert	3	Bachelor of Civil engineering	15
5	SCADA/HMI Expert	1	BE (Electronics/ Instrumentation)	7
6	Electro Mechanical Expert	2	BE (Electrical/ Mechanical	10
7	Structural Expert	1	M. Tech (Structural Engineering)	10

The applicant is required to submit an undertaking to engage and deploy personnel as above

➢ Documentation and Attachments to be submitted as mentioned below.

Documentation to be submitted:	Form E.3.1
Attachments To be submitted	➢ The applicant is required to **<u>submit an undertaking to engage and deploy personnel as above.</u>**

E.4 Manufacturer's Authorisation	Applicable
Requirement:	• In the case of an Applicant who offers to supply and/or install equipment in accordance with Part I of the Application Document that the Applicant d0 not manufacture or otherwise produce, the Applicant shall submit an undertaking to submit Manufacturers' Authorization(s) for all major equipment in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant. • Documentation to be submitted as mentioned below
Documentation to be submitted:	Form E.4.1

NOTE:

The Evaluation Criteria for Pre- Qualification of Applicants

Sr. No.	**Description**	**Remarks**
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Quality Assurance	Full or Conditional prequalification

Part V. Forms

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Application Submission Form

Date: *[insert day, month, and year]*
IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

(a) We have examined and have no reservations to the Application Document, including Corrigendum No(s)._____, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued].*

(b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently debarred/ sanctioned in accordance with ITA 4.5. Subsequent to the deadline for Application submission, in case we are debarred/sanctioned, we shall immediately inform Exim Bank of such debarment/sanction and reasons thereof, in accordance with ITA 4.5;

(c) We confirm that we have not defaulted in any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) and CIBIL Defaulter List, updated from time to time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;

(d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.

(e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Prequalification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.

(f) We further declare that we are/are not facing any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)

(g) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name [insert full name of person(s) signing the Application]

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month], [insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

Checklist for Various Forms and Documents to be Submitted

Form No.	Details of Forms and Documents	Page no.
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of JV Member	
*	3. PAN and GST Registration Details of JV Member	
*	4. Organizational chart of JV Member	
*	5. List of Board of Directors with their complete designation in case of nominee directors of JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	

B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditor of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 5 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual EPC Turnover, certified by the Statutory Auditor of the Applicant	
C.2.1 *	Ongoing Contracts (Certified by a Chartered Accountant)	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	3. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in D.2.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be	

	submitted: a. Copy of Letter of Acceptance of the bid issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; b. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant provided the Letter of Acceptance of bid by the Concession Authority is issued in favour of the JV comprising the Applicant.	
*	In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.1.1	Certified Management System	
	Self-attested copy of ISO Accreditation / Quality Management System Certificate	
E.2.1	Contractor's Equipment Details Undertaking stating that the equipment listed under E.2. Contractor's Equipment can be arranged and deployed at site	
E.3.1	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
E.4.1	Manufacturer's Authorisation (Undertaking)	
F	List of Applicant's priority	
Part VI. *	Questionnaire for Applicants	

Note : i. Please insert page numbers

ii. Documents marked '*' to be submitted for each JV member separately.

Form A.1.1

Applicant Information Form
[to be filled by Applicant if being a Single Entity or as Lead member of JV]

Date: *[insert day, month, year*]
IFP No. and title: *[insert IFP number and title]*
Page*[insert page number]*of *[insert total number]*pages

Applicant's name *[insert full name]*
In case of Joint Venture (JV), name of each member: *[insert full name of each member in JV]*
Applicant's country* of registration: *[indicate country of Constitution]*
Applicant's actual year of incorporation: *[indicate year of Constitution]*
Applicant's legal address [registered in India]: *[insert street/ number/ town or city/ country]*
Applicant's authorized representative information Name: *[insert full name]* Address: *[insert street/ number/ town or city/ country]* Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]* E-mail address: *[indicate e-mail address]*
1. Attached are copies of original documents of ☐ Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. ☐ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN, TIN & GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

* Note: Applicant’s attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms.

Form A.1.2

Applicant's/ JV Member's Information Form

[The following form is in addition to Form A.1.1 (in case the Applicant is a JV)], and shall be completed separately to provide information relating to each JV member

Date: *[insert day, month, year]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

Applicant name: *[insert full name of the JV]*
Applicant's JV Member's name: *[insert full name of Applicant's JV Member]*
Applicant's JV Member's country* of registration: *[indicate country of registration]*
Applicant JV Member's date of constitution: *[indicate date of constitution in dd/mmm/yyyy]*
Applicant JV Member's legal address registered in India: *[insert street/ number/ town or city/ country]*
Applicant JV Member's authorized representative information Name: *[insert full name]* Address: *[insert street/ number/ town or city/ country]* Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]* E-mail address: *[indicate e-mail address]*
1. Attached are copies of original documents of Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN, TIN & GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.

* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms

Form B.1.1/ B.2.1/ B.3.1

Past Contract Non-Performance, Pending Litigation and Litigation History

[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to: *[Insert name of JV leader or JV member/s]*

Form B.1.1 Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
☐ Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1. ☐ Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
Year	**Non-performed portion of contract**	**Contract Identification**	**Total Contract Amount (current value, currency, exchange rate and US$ equivalent)**
[insert financial year]	*[insert amount and percentage]*	Contract Identification: *[indicate complete contract name/ number, date, valueand any other identification]* Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Reason(s) for non-performance: *[indicate main reason(s)]*	*[insert amount in USD equivalent and specify exchange rate and date]*

Form B.2.1 Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
☐ No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 ☐ Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	**Amount in dispute (currency)**	**Contract Identification**	**Total Contract Amount (currency), USD Equivalent (exchange rate)**	**Amount of specific provision already made, if any**
[insert year]	*[insert amount]*	Contract Identification: [indicate complete contract name, number, date, valueand any other identification] Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Matter in dispute: *[indicate main issues in dispute]* Party who initiated the dispute: *[indicate "Employer" or "Contractor"]* Status of dispute: *[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]*	*[insert amount]*	*[insert amount in USD equivalent and specify exchange rate]*

Form B.3.1 Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
☐ No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3. ☐ Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	**Outcome as percentage of Net Worth**	**Contract Identification**	**Total Contract Amount (currency), USD Equivalent (exchange rate)**
[insert financial year]	*[insert percentage]*	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Matter in dispute: *[indicate main issues in dispute]* Party who initiated the dispute: *[indicate "Employer" or "Contractor"]* Result of dispute: *[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]*	*[insert amount in USD equivalent and specify exchange rate and date]*

Form C.1.1

Financial Status and Capacity

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory auditor. Failure to comply with this requirement may result in rejection of the Application]

Applicant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name:[insert full name]
IFP No. and title: [insert IFP number and title]
Page [insert page number] of [insert total number] pages
Information pertaining to:[Insert name of JV Leader or JV member/s]

1. **Financial data**

Type of Financial information in	**Historic information for previous five years (amount in Indian Rupees)**				
	FY 2013 – 14	FY 2014-15	FY 2015-16	FY 2016-17	FY 2017-18
Sources of Fund					
Share Holder's Fund					
Loan Fund					
Application of Fund					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current assets, loans and advances					
Less: (ii) Current liabilities & provisions					
Misc. exp. to the extent not W/Off or adjusted					
Profit and Loss Account					
Networth					
Networth					
Information from Income Statement					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					
Information From Cashflow Statement					
Cash Flow from Operating Activities					
Cash Flow from Investments					

Cash Flow from Financing Activities					

Form C.1.2

Sources of Finance

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

Applicant's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Member Name:[insert full name]
IFP No. and title: [insert IFP number and title]
Page [insert page number] of [insert total number] pages
Information pertaining to:[Insert name of JV Leader or JV member/s]

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	**Type of Facility**	**Sanctioned Limit**	**Utilised Limit**	**Unutilised Limit**
	Fund Based Limits			
	a.			
	b.			
	c.			
	Total Fund Based			
	Non-fund Based Limits			
	a			
	b			
	C			
	Total Non –fund Based			
	Total Fund and Non-Based Limit			

B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	**Type of Liquid Assets**	**Amount**
1.		
2.		
3.		

Financial documents

The Applicant and its parties shall provide copies of audited financial statements for last five years [FY 2013-14 to FY 2017-18] pursuant to Part IV, Prequalification Criteria and Requirements, Sub-factor C.1. The financial statements shall:

(a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member).
(b) be independently audited or certified in accordance with local legislation.
(c) be complete, including all notes to the financial statements.
(d) correspond to accounting periods already completed and audited.

☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements.

☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.

☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements .

Form C.1.3

Annual EPC Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditor. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to :*[Insert name of JV leader or JV member/s]*

	Annual Turnover Data						
Year	**EPC Turnover Amount (INR)**			**Total Turnover (INR)**	**EPC Turnover as % of Total Turnover**	**Exchange rate* (v/s USD)**	**EPC Turnover in USD equivalent**
	Contracts in India	**Overseas Contracts**	**Total EPC Turnover**				
	[A]	[B]	C = [A+B]	[D]	C/D*100	[E]	C/E
2013-2014							
2014-2015							
2015-2016							
2016-2017							
2017-2018							

* Refer ITA 13.3 for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate turnover for respective financial year

Form C.2.1

Ongoing Contracts

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditor. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to :*[Insert name of JV leader or JV member/s]*

Contract Identification	**Role of Applicant**	**Start Date**	**Contractual Completion Date**	**Intended/ Revised Completion Date**	**Residual period for completion**	**Residual value of Work**	**Annual Residual value of Work**
1	**2**	**3**	**4**	**5**	**6**	**7**	**8**
Contract Ref: Brief Title of the works: Amount of contract: *[insert amount in currency, mention currency used, exchange rate and US$ equivalent*]* Name & Address of Employer:	*[insert "Prime Contractor" or "JV Member"]*				*[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]*	*[Insert value in US$ equivalent including amount billed but pending payment up to deadline for submission of Application]*	*[Insert value in US$ equivalent]* *[7 divide by 6]*
							[Insert Total for all Contracts]

* Exchange rate prevalent as on the date of Invitation for Prequalification (IFP) shall apply to ascertain residual value in equivalent USD. Refer ITA 13.3 for source of exchange rate

Form D.1.1

General Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, for each Member]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to :*[Insert name of JV leader or JV member/s]*

[List contracts that demonstrate experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Contract Identification	**Start Date**	**Initial Contractual End Date**	**Actual Completion Date and Reasons for delay, if any**	**Role of Applicant**
Contract name: *[insert full name]* Brief Description of the works performed by the Applicant: *[describe works performed briefly]* Amount of contract: *[insert amount in currency, mention currency used, exchange rate and US$ equivalent*]* Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]*	*[indicate date as dd/ mmm/ yyyy]*	*[indicate date as dd/ mmm/ yyyy]*		*[insert "Prime Contractor " or "JV Member"]*

* Refer ITA 13.3 for date and source of exchange rate
* Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer / Concession Granting Authority in case of SPV to be attached for each contract in an orderly fashion
*

Form D.2.1
Specific EPC Experience

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.2.]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to :*[Insert name of JV leader or JV member/s]*

Information

Similar Contract No.	*[insert number] of [insert number of similar contracts required]*		
Contract Identification	*[insert contract name and number, if applicable]*		
Contract date	*[dd/mmm/yyyy]*		
Completion date	*Contractual:*		*Actual: [dd/mmm/yyyy]*
Role in Contract *[check the appropriate box]*	Prime Contractor ☐		Member in JV☐
Total Contract Amount	*[insert total contract amount in contract currency]*		US$ *[insert Exchange rate and total contract amount in US$ equivalent]**
If member in a JV, specify participation in total Contract amount	*[insert a percentage amount]*	*[insert total contract amount in local currency]*	*[insert exchange rate and total contract amount in US$ equivalent]**
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	*[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics and technical aspects listed in Sub-Factor D.2]*		
For the above contract, mention the following attributes			
1. Capacity/ Physical size of key works items	*[insert capacity/ physical size of key work items]*		
2. Complexity	*[insert description of complexity in accordance with the technical aspects mentioned under Part IV D.2]*		
3. Methods/ Technology	*[insert specific aspects of the methods/ technology involved in the contract]*		
4. Rate of execution for key items	*[insert execution rates for key items]*		
5. Other Characteristics	*[insert other characteristics as appropriate]*		

Note: Rate implies the quantity executed in unit time e.g. cubic meters of earthwork per annum, etc.

* Refer ITA 13.3 for date and source of exchange rate.
* Final Acceptance Certificate /Completion Certificate / Testimonial Letters issued by the employer / Concession Granting Authority in case of SPV to be attached for each contract, in an orderly fashion

Form E.1.1

Certified Management System

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to :*[Insert name of JV leader or JV member/s]*

ISO **Accreditation/ Certified** Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
[indicate the quality management system accreditation]	[indicate certificate identification number and mention which process or discipline has been certified and inclusions]	[dd/mmm/yyyy]	[dd/mmm/yyyy]	[indicate the name of the certifying organization and contact details]

Copy of ISO Certificate(s) to be attached

Form E.2.1

Contractor's Equipment Details

[In case of JVCA, this form shall be submitted on a combined basis]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy following equipment, listed in E.2, at site :

Equipment Type	**Make/ Model**	**Capacity**	**Number**
[mention the generic name/ type of equipment]	*[indicate make/ model of equipment]*	*[indicate capacity of equipment]*	*[indicate number available]*

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form E.3.1

Skilled Human Resources

[Furnish details for key staff pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy following key staff, listed in E.3 :

Position	**Name of key staff**	**Academic Qualification**	**Specialization**	**Years of Experience**	**Experience in Countries**

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form E.4.1

Manufacturer's Authorisation (Undertaking)

[The following form shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis by the lead partner]

Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages
Information pertaining to: [*Insert name of JV leader or JV member/s]*

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

(a) to bid for the supply of equipment for the tender under reference;
(b) confirming guarantee/ warranty support for the equipment bid for by us; and
(c) confirming that the equipment being bid for to be supplied shall be new and unused.

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]

Form F

List of Applicant's priority

[Indicate your priority for different contracts. This form shall be filled]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name:*[insert full name]*
IFP No. and title: *[insert IFP number and title]*
Page *[insert page number]* of *[insert total number]* pages

Contract Identification No.	**Name of work**	**Priority (write 1 for top priority 2 for second priority and so on)**

Note: If applicant fails to fill up this form then priority shall be given based on time of receiving of applications for different contracts by the applicant i.e, first come will get priority 1 and so on.

Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in by each partner separately]*

IFP No.: *[insert IFP No.]*

Eligibility, Confirmation and Other Important Information	**Response***
1. Is your firm, either singly or in joint venture currently empaneled or prequalified as an EPC contractor. If yes, please provide details of Client, Country and Scope of EPC. Attach additional sheets, if needed.	Confirm: Yes___/No _____
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes____/No _____
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes___/No _____
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes_____/No_____
5. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes_____/No ____
6. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes_____/No_____
7. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes_____/No_____
8. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Confirm: Yes___/No _____ Yes___/No _____ Yes___/No _____

	Yes___/No _____
9. Please confirm that you agree to the provisions of the Government of India guidelines dated 7th December 2015 on IDEAS Lines of Credit	Confirm: Yes___/ No ___

** Note: To confirm, print "Yes", else "No"*

_____

(Signature)

[*Name of Authorized Signatory*]
[*Title*] of Authorized Signatory

Date: