

# **Application Document**

for

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Prequalification of Indian Entities for  
Development of E-Health System for  
Hospitals, Health Centres, and Mediclinics  
under Ministry of Health & Quality of Life,  
the Republic of Mauritius.

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**Export-Import Bank of India**

**Date: March 15, 2019**

## INVITATION FOR PREQUALIFICATION OF INDIAN ENTITIES FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export–Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time to time. As per the extant guidelines issued vide letter No.21/3/2015-IDEAS dated December 7, 2015, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian Entities for pre-qualification for development of E-Health System for Hospitals, Health Centres, and Mediclinics under Ministry of Health & Quality of Life, the Republic of Mauritius, financed under Exim Bank's GOI-supported Line of Credit extended to the SBM [Mauritius] Infrastructure Development Co. Ltd. [a nominated agency of Govt. of Mauritius]

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant Name	<Applicant Name>
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	AXIS BANK, CUFFE PARADE
[iv]	Account No.	447010200000268
[v]	IFSC Code	UTIB0000447
[vi]	GST Number	27AAACE2769D1ZS

The preparation and submission of Application for prequalification is **required in dual mode (on-line as well as off-line)** in accordance with the provisions of the Application Document.

Completed Applications (off-line) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 hours, April 15, 2019 at the following address:

Ms. Amita Dang  
Assistant General Manager  
Export-Import Bank of India,  
Office Block, Tower 1, 7th Floor, Adjacent Ring Road  
Kidwai Nagar [East]  
New Delhi 110 001  
Email: [eximloc@eximbankindia.in](mailto:eximloc@eximbankindia.in)

This Application Document [AD] consists of the following Parts:

**Part I.            Scope of the project**

This Part includes a summary description and other data on this pre-qualification process and of the prospective project to be contracted by the Borrower Government / Procuring Entity subsequent to this pre-qualification.

**Part II.            Instructions to Applicants (ITA)**

This Part provides information to the Applicants in preparing and submitting their applications for Prequalification (“Applications”) and the procedures for this prequalification process.

**Part III.           Data Sheet (DS) to ITA**

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

**Part IV.           Prequalification Criteria and Requirements**

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by Borrower Government/Procuring Entity.

**Part V.            Forms**

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

**Part VI            Questionnaire for Applicants**

This questionnaire requires the prospective Applicants to furnish certain basic information, and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

# **Application Document**

**for**

Prequalification of Indian Entities for  
Development of E-Health System for  
Hospitals, Health Centres, and Mediclinics  
under Ministry of Health & Quality of Life,  
the Republic of Mauritius.

## **Invitation for Pre-Qualification**

No.: GOILOC-240/Mauritius/SS-09

**Issued on: March 15, 2019**

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## **PART I: SCOPE OF THE PROJECT**

The Export-Import Bank of India (Exim Bank), on behalf of the Government of India [GOI] has approved financing projects out of the LOC extended to the SBM [Mauritius] Infrastructure Development Co. Ltd. [a nominated agency of Govt. of Mauritius]. One of the projects is for Development of E-Health System in Mauritius, for which Exim Bank now seeks applications for pre-qualification from eligible Indian entities. Refer Part II ITA 4 for eligibility provisions.

### **A] Details of Project**

Ministry of Health & Quality of Life [MOHQL], the Republic of Mauritius intends to improve the quality and responsiveness of healthcare services. The objective of this project is to implement e-Health Project in phased manner to enable the creation of standards compliant Electronics Health Records (EHRs) of the citizens on a pan-Mauritius basis along with the integration and interoperability of the EHRs through a comprehensive healthcare system. E-Health project is envisaged to enable better continuity of care, secure and confidential health data / records management, better diagnosis of the diseases, reduction in patient re-visits and even prevention of medical errors, better affordability, optimal information exchange to support better health outcome, better decision support system and thus eventually facilitating improvement in the reforms of treatment and care of public health at National level. The e-Health project would cover all the hospitals, Area Health Centres (AHCs), Community Health Centres (CHCs), etc. which comprises:

- 6 Major Regional Hospitals including Hospital in Rodrigues
- 1 ENT Hospital
- 1 Eye Hospital
- 1 Cardiac Centre
- 2 other Speciality Hospitals
- 4 District Hospitals
- 23 Area Health Centres
- 10 Mediclinics
- 1 Community Hospital
- 130 Community Health Centres (CHCs) and
- 3 Family Health Centres
- Central Laboratory
- Drug Warehouse

The total capacity is approximately 5000 beds (indicative) for phase I & phase II, which will be running concurrently. Interoperability from application point of view should be maintained in all the hospitals and health centres in Republic of Mauritius including Rodrigues.

**List of Hospitals at a glance under Ministry of Health & Quality of Life, Republic of  
Mauritius:**

**Phase –I:**

<b>Sr. No.</b>	<b>Descriptions</b>	<b>Region I</b>	<b>Region II</b>	<b>Region III</b>	<b>Region IV</b>	<b>Region V</b>	<b>Total</b>
<b>1</b>	Regional Hospitals	1 Dr. Jeetoo Hospital	1 SSRN Hospital	1 Flacq Hospital	1 J. Nehru Hospital	1 Victoria Hospital	5
<b>2</b>	Specialized Hospital	2 S. Bharati Eye Hospital & Moka Brown Sequard Hospital (Psychiatric)	2 Poudre D'or Chest Hospital & Cardiac Centre	-	-	1 ENT Hospital	5
<b>3</b>	District Hospital	-	1 Long Mountain Hospital	-	2 Mahebourg Hospital & Souillac Hospital	-	3
<b>4</b>	Medi-Clinic	1	2	1	1	1 (Under Construction)	6
<b>Total</b>		<b>4</b>	<b>6</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>19</b>

**Phase II:**

Sr. No.	Descriptions	Region I	Region II	Region III	Region IV	Region V	Total
1	Community Hospital	-	-	-	-	1 Dr. YVES Cantin (Riviere Noire) Community Hospital	1
2	Area Health Centre	4	2	5	4	5	20
3	Community Health Centre	24	28	28	16	20	116
<b>Total</b>		<b>28</b>	<b>30</b>	<b>33</b>	<b>20</b>	<b>26</b>	<b>137</b>

➤ **Rodrigues:**

Sr. No.	Description	Total
1	Regional Hospital	1 Queen Elizabeth Hospital
2	Area Health Centre	2
3	Community Health Centre	14
4	Family Health Clinic	0
<b>Total</b>		<b>17</b>

➤ **Central Laboratory.**➤ **Drug Warehouses.**➤ **Interoperability of Phase II hospitals & health centres with application covered in Phase I.**



➤ **Interoperability with new:**

1. ENT Hospital
2. Cancer Hospital
3. Flacq Hospital
4. Queen Elizabeth Hospital
5. S. Bharti Eye Hospital

**Bed distributions (indicative): Phase-I**

S. No.	Description	No. of Beds
1	Dr. A.G. Jeetoo Hospital	578
2	S.S.R.N. Hospital	490
3	J. Nehru Hospital	435
4	Victoria Hospital	765
5	Mahebourg Hospital	61
6	Souillac Hospital	63
7	Brown Sequard (Psychiatry) Hospital	657
8	Poudre D'or (Chest) Hospital	61
9	Cardiac Centre	56
10	Long Mountain Hospital	
11	Flacq Hospital	324
12	E.N.T. Centre, Vacoas	53
13	S. Bharti (Eye) Hospital, Moka	70
14	Cancer Hospital	50
<b>Total</b>		<b>3663</b>

**Bed distributions (indicative): Phase-II**

S. No.	Description	No. of Beds
15	Queen Elizabeth Hospital, Rodrigues	137
<b>Grand Total</b>		<b>3800</b>

**Bed distributions in new hospitals:**

S. No.	Description	No. of Beds
1	Flacq Hospital	605
2	E.N.T. Centre, Vacoas	100
3	S. Bharti (Eye) Hospital, Moka	100
4	Cancer Hospital	200
5	Queen Elizabeth Hospital, Rodrigues	240
<b>Total</b>		<b>1245</b>

Applicants should consider augmented patient load, number of users i.e. doctors, nurses etc. along with number of beds by at least 1.5-2 times of maximum required during last 5 years while making e-Health proposal.

**B] Scope of Work**

Scope of the e-Health project under this prequalification covers phase-I and phase II, which will run concurrently in hospitals and mediclinics, interoperability with existing/new hospitals and interfacing with existing ERP applications. Planning for hospitals, central laboratory, drug warehouse, health centres i.e. AHCs, CHCs etc. covered in Phase-II needs to be done along with phase-I. Data Centre, Disaster Recovery Centre (DRC), Hospital Management and Information System (HMIS), Picture Archiving and Communication System (PACS) covered in phase-I should also cater to the needs of all the health centres in phase II.

Followings are the major components and activities covered in the e-Health Project:

1. Centralized HMIS (Hospital Management and Information System) and PACS (Picture Archival and Communication System) for Hospitals, Mediclinics: - Development, Customization, Integration, Installation, Testing, Implementation, Commissioning and Training. Interoperability among all the existing hospitals, mediclinics along with new hospitals, mediclinics, central laboratory and Drug Warehouse.
2. Supply, Installation, Configuration, Testing and Commissioning of Server Hardware and System Software for HMIS and PACS.
3. Establishment of Network Infrastructure - Supply, Installation, Configuration, Testing and Commissioning of LAN and Wi-Fi network as per the requirement and scope of work mentioned.
4. Queue Management System [QMS]: - Supply, Customization, Installation, Integration, Testing, Implementation and Commissioning as per the requirement and scope of work mentioned.
5. Providing the requirements of latest Computer Hardware and associated software location (hospital/mediclinic/AHC/CHC) wise, their specifications, justified market rates, etc.

6. Supply, Installation, Testing and Commissioning of Secured and Scalable Servers and Storage along with racks/smart racks and any other components in already established Data Centre (DC) and Disaster Recovery Centre (DRC) of GOC (Government Online Centre) as per the requirements.
7. Development, Customization, Integration, Installation, Testing, Implementation, Commissioning and Training of Web portals, Mobile Applications for all the hospitals, health centres and Mediclinics under e-Health.
8. Development, Customization, Integration, Installation, Testing, Implementation, Commissioning and Training of Education Management System (EMS) as per the requirement.
9. Development, Customization, Integration, Installation, Testing, Implementation, Commissioning and Training of Library Management System (LMS) as per the requirement.
10. Complete IT solution shall be provided with 5 years Operation and Maintenance Contract (Labour and Parts) after commissioning of the system.
11. Data migration for the patients for last one year to be done in the e-Health application for the demographic data.
12. The e-Health system also needs to be able to support digital signatures.
13. Any other work as per the requirement of the client.

#### **C] Standards and codes**

- Applicant to ensure usage of the international standards such as ISO 27799 (Health Informatics) to maintain the Confidentiality, Integrity and Availability of the overall e-Health System.
- For HL7 (Health Level 7) with its latest version
- DICOM (Digital Imaging and Communication in Medicine) with its latest version should be complied with.
- Compliance with the following standards:
  - a. ICD10 or higher (International Code of Diseases, Clinical Modifications)
  - b. CPT4 or higher (Current Procedure Terminology)
  - c. HCPCS (Health Care Procedures Code Set)
  - d. HIPAA Compliant (EDI, Code Sets, Privacy)
  - e. LOINC (Logical Observation Identifiers Name and Codes)
  - f. SNOMED CT (Systematized Nomenclature of Medicine – Clinical Terms)
  - g. ASTM (American Society for Testing and Materials)
  - h. Electronic Health Record [EHR] Standards of India dated December 30, 2016.
- Interfacing of specific modules of the e-Health to existing information systems or equipment.

#### **D] Project Authority**

Ministry of Health and Quality of Life [MOHQL], Govt. of Mauritius, is the Project Authority.

#### **E] Contract Period**

The scheduled completion period for the project is twelve (12) months.

**F] About the Location**

The Republic of Mauritius is constituted of the main island of Mauritius and several outlying islands in the Indian Ocean. Mauritius has an area of 1,860 Sq. Kms and is made up of a central plateau gradually rising towards the south west where it reaches its highest point of 828 metres at Piton de la Rivière Noire. The second largest island is Rodrigues with an area of 108 Sq. Kms and situated 560 km to the east of main island of Mauritius. Once dependent on sugar exports, Mauritius has now built up a strong outsourcing and financial services sector, and an important tourism industry and has one of Africa's highest per capita incomes. Mauritius has a maritime climate, tropical during summer and sub-tropical during winter. The summer months extend from November to April and winter from May to October.

Mauritius has a 3-tier healthcare services delivery comprising Primary (Area Health Centre, Medi-Clinics, Community Health Centres and Community Hospitals), Secondary (Regional and District Hospitals) and Tertiary (Specialized Hospitals). The health services in Mauritius operates through five regions and with separate arrangements for Rodrigues. Each region has its own Health Advisory Board to advise on the health needs of the region, effectiveness and efficiency of services and consumer matters. The management of the regions is the responsibility of regional health directors. The Ministry of Health and Quality of Life (MOHQL) is responsible for overall policy, planning and management, resource allocation and regulation, together with parliamentary and international matters.

**G] GOI Guidelines**

As per the GOI guidelines, goods, works and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above which are also available at <http://www.eximbankindia.in/assets/pdf/loc/GOI-Guidelines-on-LOC.pdf>

## **Part II. Instructions to Applicants [ITA]**

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## Part II. Instructions to Applicants

### A. General

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|--------------------------------------|---|
| <b>1. Background</b>                 | 1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time to time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.  |
| <b>2. Scope of Pre-qualification</b> | 2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (DS), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification as per the scope described in Part I, I Scope of the project and subject to the provisions of this Application Document.  |
| <b>3. Ethics and Integrity</b>       | <p>3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any acts<sup>1</sup>, as offsets for pre qualification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.</p> <p>3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.</p> <p>3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding for the contract(s) has</p> |

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<sup>1</sup>These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice and conflict of interest.

violated the provisions stated in ITA 3.1 above, the Bank, inter alia

- a. Shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/ or
- b. May declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

#### **4. Eligibility of Applicants**

- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's/ JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the subsequent contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV so as to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the **DS**.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is constituted, incorporated or registered in, and operates in accordance with the provisions of the laws of India, as evidenced by its articles of incorporation and its registration documents, as the case may be.
- 4.5 An Applicant that has been blacklisted/debarred/ sanctioned by any Multilateral Development Agencies (MDA) or any authority in India or the borrowing country in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/bidding process as applicable, during such period of time as the sanctioning authority shall have determined. Subsequent to the deadline for Application submission, in case the



Applicant is blacklisted/ debarred/sanctioned, the Applicant shall immediately inform Exim Bank of such blacklisting/ debarment/sanction on the inclusion and reasons thereof.

- 4.6 The list of debarred firms and individuals by certain leading MDAs is available as specified in the **DS**. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDA's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if it is currently under default on any loan to any Bank/ Financial Institution (FI) as per Central Repository of Information on Large Credits (CRILC) database and its account has been classified as Non-Performing Asset (NPA) with any Bank/FI or their companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time. On being included in any of the above lists post the prequalification process, the Applicant shall immediately inform Exim Bank on the inclusion and reasons for inclusion thereof.
- 4.8 An Applicant shall furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

## **B. Composition of the Application Document**

### **5. Contents of this Document**

- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of the Project
  - Part II. Instructions to Applicants (ITA)
  - Part III. Data Sheet (DS) to ITA
  - Part IV. Prequalification Criteria and Requirements
  - Part V. Forms
  - Part VI. Questionnaire for Applicants
- 5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

- 5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

**6. Clarifications**

- 6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the DS. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Bank's response, at its discretion, may be put up on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.
- 6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

**7. Corrigendum of Application Document**

- 7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.
- 7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.
- 7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

**C. Preparation, Submission and Opening of Applications**

**8. Cost towards Application**

- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

**9. Language**

- 9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing language.

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|---|---|
| <p><b>10. Documents constituting the Application</b></p>              | <p>10.1 The Application shall comprise the following:</p> <ul style="list-style-type: none"> <li>(a) Application Submission Form, in accordance with ITA 11.1;</li> <li>(b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;</li> <li>(c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and</li> <li>(d) any other document required as specified in the <b>DS</b>.</li> </ul>  |
| <p><b>11. Application Submission Form</b></p>                         | <p>11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.</p>  |
| <p><b>12. Documents Evidencing the Applicant's Eligibility</b></p>    | <p>12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).</p>  |
| <p><b>13. Documents Evidencing the Applicant's Qualifications</b></p> | <p>13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.</p> <p>13.2 If an Applicant does not manufacture or produce the goods it offers to supply, it shall submit documentation related to Manufacturer's Authorisation as specified in the <b>DS</b>.</p> <p>13.3 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:</p> <ul style="list-style-type: none"> <li>(a) Exchange rates shall be taken from the publicly available source identified in the <b>DS</b>. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation.</li> <li>(b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31<sup>st</sup> March of respective financial year as specified in the <b>DS</b>.</li> </ul> |

- (c) for data related to contracts signed in the current financial year, unless otherwise specified in the DS, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent as specified in the DS; and
- (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be used to arrive at the USD equivalent as specified in the DS.

**14. Authorized Signatory and Number of Copies**

- 14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.

**15. Preparing the Application Envelope**

- 15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:
- (a) be addressed to the Bank, as per ITA 16.1;
  - (b) mention the name and address of the Applicant; and
  - (c) mention the specific identification of this prequalification process indicated in the **DS** ITA 2.1.
- 15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.

**16. Application Submission Deadline**

- 16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the DS.
- 16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.

**17. Late Applications**

- 17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.

**18. Opening of Applications**

- 18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

**D. Procedures for Evaluation of Applications**

**19. Evaluation to be**

- 19.1 Information relating to the Applications, their evaluation and

**Confidential**

result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.

19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form will be grounds for disqualification.

**20. Clarifications  
during Evaluation**

20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.

20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

**21. Determination of  
Responsiveness of  
Applications**

21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

**E. Evaluation of Applications and Pre-qualification of Applicants**

**22. Evaluation of  
Applications**

22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.

22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.

22.3 Only the qualifications of the Applicant, in the capacity as prime

contractor/ member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or subsidiary or any other affiliated company of the Applicant, who is not member of the JCVA except as permitted by ITA 4.3 shall not be considered.

- |  |  |
|--|--|
| <b>23. Bank's Right to Accept or Reject Applications</b> | 22.4 The qualification and experience of the Applicant for execution of contracts in-house or for a parent/ promoter/ group concerns or for Special Purpose Vehicles (SPVs), set up by the Applicant or its group companies, shall not be considered.<br>23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants. |
| <b>24. Pre-qualification of Applicants</b>               | 24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.   |
| <b>25. Notification of Pre-qualification</b>             | 25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.<br><br>25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.          |
| <b>26. Subsequent Invitation for Bids</b>                | 26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of bids.  |

<b><u>Part III. Data Sheet (DS) to ITA</u></b>	
<b>A. General</b>	
<b>ITA 2.1</b>	<p>The identification of the Invitation for Pre-qualification [IFP] is:  <b>GOILOC-240/Mauritius/SS-09</b></p> <p>The Bank's address is:  Export-Import Bank of India,  Office Block, Tower 1  7<sup>th</sup> Floor, Adjacent Ring Road  Kidwai Nagar [East]  New Delhi 110 023  Tel: +91 11-2347 4829/2347 4800  Email: <a href="mailto:eximloc@eximbankindia.in">eximloc@eximbankindia.in</a>  Website: <a href="http://www.eximbankindia.in">www.eximbankindia.in</a></p>
<b>ITA 4.3</b>	<p><b>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 26%.</b> The maximum number of other members in the JV shall be such that each of the other members should have at least <b>20%</b> share. The JV can be either an incorporated JV company or an unincorporated consortium/ association [JVCA] based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract [in terms of percentage] being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> <li>- Names of the Lead Partner and each of the other members</li> <li>- Percentage share of each JV member</li> <li>- Confirmation of Joint and Several Liability of all members of the JV</li> </ul> <p>The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified Suppliers/Contractors and non-prequalified Suppliers/Contractors or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.</p>

	<p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all business for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the account of the JVCA and not to the account of individual member(s).</p> <p>The Joint Venture Agreement shall in all respect be governed by and interpreted in accordance with Indian Laws.</p>
<b>ITA 4.6</b>	<p>A list of debarred firms and individuals by: the World Bank is available on the website: <a href="http://www.worldbank.org/debarr">http://www.worldbank.org/debarr</a></p> <p>The Asian Development Bank is available on the website: <a href="http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&amp;count=999">http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&amp;count=999</a></p> <p>the African Development Bank is available on the website: <a href="http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/">http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</a></p> <p>the European Bank for Reconstruction and Development is available on the website: <a href="http://www.ebrd.com/pages/about/integrity/list.shtml">http://www.ebrd.com/pages/about/integrity/list.shtml</a></p> <p>the Inter-American Development Bank is available on the website: <a href="http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html">http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</a></p> <p>the Mauritius Public Procurement Authority is available on website: <a href="http://publicprocurement.govmu.org/Pages/default.aspx">http://publicprocurement.govmu.org/Pages/default.aspx</a></p>
<b>B. Contents of the Application Document</b>	
<b>ITA 6.1</b>	<p>For <b>clarification purposes</b>, the Bank's address is: Ms. Amita Dang Assistant General Manager Export-Import Bank of India Office Block, Tower 1 7<sup>th</sup> Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi -110023 Email: <a href="mailto:eximloc@eximbankindia.in">eximloc@eximbankindia.in</a></p>



<b>C. Preparation, Submission &amp; Opening of Applications</b>	
<b>ITA 10.1 (d)</b>	<p>The preparation and submission of Application Form will be in dual mode (on-line as well as off-line).</p> <p><b>i) <u>On-line mode of Application</u></b>  The applicant shall submit the application through Exim Bank's portal <b><u><a href="https://locprocure.eximbankindia.in">https://locprocure.eximbankindia.in</a></u></b></p> <p>For details for submitting Online Application, please refer the above portal.</p> <p><b><u>Minimum Requirements:</u></b></p> <ol style="list-style-type: none"> <li>1. Computer/Laptop with Internet connection.</li> <li>2. Operating System: Windows Version: Windows 7/8/10 – 32 or 64 Bit.</li> <li>3. Java Version: 1.8 or above</li> <li>4. Mozilla version should be 45.0 and above(x86 only i.e OS of 32 Bit Version)</li> <li>5. Digital Certificate: Class III, Signing + Encryption and it should be organizational certificate.</li> </ol>

	<p><u>For any Online technical clarification, kindly contact:</u></p> <p>Bob EProcure Pvt. Ltd.  3 / 4, 3<sup>rd</sup> Floor, Maruthi Towers, Madivala, SBM, Bangalore  Karnataka – 560069  Contact Person: Ms. Alka Pillai  Mob: +91-9148982205  +91-9148998467  Email: pqsupport@bobeprocure.net</p> <p><b>ii) <u>Off-line mode of Application</u></b></p> <p>The applicant shall forward a hard copy of its application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy (self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> <p><b><u>Note: It is mandatory for Applicants to submit the Application in both On-line and Off-line modes. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection. In the event of any discrepancy in Application submitted On-line and Off-line, the information submitted in the hard copy (Off-line submission) will prevail.</u></b></p>
<b>ITA 13.2</b>	Undertaking related to Manufacturer's Authorisation in accordance with Form E.4.1 included in Part V of this Application Document.
<b>ITA 13.3</b>	<p>The source for determining exchange rates is:  For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (<a href="https://www.fbil.org.in/">https://www.fbil.org.in/</a> )</p> <p>In case a particular currency rate is not published by FBIL, then the selling rate of such currency shall be taken from the following website:  <a href="http://www.oanda.com">http://www.oanda.com</a></p>

ITA 13.3(b) & (d)	Applicants shall use the following rates for conversion between USD and INR: <table><tr><td></td><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>31-Mar-11</td><td>44.6500</td><td>0.0224</td></tr><tr><td>31-Mar-12</td><td>51.1565</td><td>0.0195</td></tr><tr><td>31-Mar-13</td><td>54.3893</td><td>0.0184</td></tr><tr><td>31-Mar-14</td><td>60.0998</td><td>0.0166</td></tr><tr><td>31-Mar-15</td><td>62.5908</td><td>0.0160</td></tr><tr><td>31-Mar-16</td><td>66.3329</td><td>0.0151</td></tr><tr><td>31-Mar-17</td><td>64.8386</td><td>0.0154</td></tr><tr><td>31-Mar-18</td><td>65.0441</td><td>0.0154</td></tr></table>		USD 1 = INR	1 INR = USD	31-Mar-11	44.6500	0.0224	31-Mar-12	51.1565	0.0195	31-Mar-13	54.3893	0.0184	31-Mar-14	60.0998	0.0166	31-Mar-15	62.5908	0.0160	31-Mar-16	66.3329	0.0151	31-Mar-17	64.8386	0.0154	31-Mar-18	65.0441	0.0154
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31-Mar-17	64.8386	0.0154																										
31-Mar-18	65.0441	0.0154																										
ITA 13.3(c)	Applicants shall use the following rates for conversion between USD and INR: <table><tr><td>USD 1 = INR</td><td>1 INR = USD</td></tr><tr><td>69.6657</td><td>0.0143</td></tr></table>	USD 1 = INR	1 INR = USD	69.6657	0.0143																							
USD 1 = INR	1 INR = USD																											
69.6657	0.0143																											
ITA 14.1	<p>Additionally, one (1) copy in a pen drive shall also be submitted.</p> <p>The prequalification application document (off line version) shall be submitted in spiral or hard bound form only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>																											
ITA 16.1	<p>Submission of Application is required in <b>dual mode i.e. on-line as well as off-line</b> as mentioned in ITA 10.1(d).</p> <p><b>The deadline for Application submission is:</b></p> <p><b>Date: April 15, 2019</b></p> <p><b>Time: 15:00 hrs</b></p> <p>For <b>application submission purposes only</b>, the Bank’s address is:</p> <p>Bank’s address is the same as that indicated in ITA 2.1</p> <p><i>Attention: Ms. Amita Dang, Assistant General Manager</i></p>																											
ITA 18.1	The opening of the Applications shall be at the Bank’s address as that indicated in ITA 2.1																											

	<b>Date: April 15, 2019</b>  <b>Time: 15:30 hrs</b>
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#### **Part IV. Pre-qualification Criteria and Requirements**

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

##### **Contents**

<b>A</b>	<b>General</b>	<b>30</b>
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<b>E</b>	<b>Quality Assurance</b>	<b>36</b>

## A. General

<b>A.1 Nationality</b>	
<b>Requirement:</b>	<ul style="list-style-type: none"> <li>➤ The Applicant's Nationality shall be in accordance with ITA 4.4</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
<b>Documentation to be submitted</b>	Form A.1.1 and A.1.2
<b>Attachments to be submitted</b>	<ul style="list-style-type: none"> <li>➤ Articles &amp; Memorandum of Association and Certificate of Incorporation of the Applicant in accordance with ITA 4.4.</li> <li>➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV.</li> </ul>

<b>A.2. Eligibility</b>	
<b>Requirement:</b>	<ul style="list-style-type: none"> <li>➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5 and ITA 4.7.</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
<b>Documentation to be submitted:</b>	Application Submission Form
<b>Attachments</b>	<ul style="list-style-type: none"> <li>➤ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached.</li> <li>➤ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Powers of Attorney for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents.</li> <li>➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> <li>• Permanent Account Number [PAN]: Self attested copy</li> <li>• GST Registration details, as applicable: Self attested copy</li> </ul> </li> </ul>

## B. Past Contract Performance and Litigation

B.1 Past Contract Non-performance	
Requirement:	<ul style="list-style-type: none"> <li>• There shall be no instance of contract<sup>2</sup> non-performance as a result of Applicant's default since <b>March 01, 2014</b> (in case of JV, each member shall meet the requirement).</li> <li>• The Applicant shall inform Exim Bank of any such instances post submission of the Prequalification Application.</li> <li>• Documentation and Attachments to be submitted as mentioned below.</li> </ul>
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

B.2 Pending Contract Litigation	
Requirement:	<ul style="list-style-type: none"> <li>➤ Applicant shall have a positive* adjusted net worth determined as per the last audited financial statements for the financial year 2017-18 and the contractual litigation value as considered below net of provision made in the last audited financial statements for FY 2017-18: <ul style="list-style-type: none"> <li>○ Litigation value shall be deemed to be 25% of the aggregate value of pending litigation across all contracts and net of provisions, this value shall be deducted from the net worth to arrive at the adjusted net worth.</li> </ul> </li> <li>➤ Documentation and Attachments to be submitted as mentioned below.</li> <li>➤ In case of JV, each member shall meet the requirement.</li> </ul> <p><i>*Note: Networth – [25% of Litigation Value – provisions already made] = positive</i></p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

<sup>2</sup> Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

<b>B.3 Contract Litigation History</b>	
Requirement:	<ul style="list-style-type: none"> <li>• The aggregate amount of awards against the Applicant, if any, since <b>March 01, 2014</b> shall not exceed 25% of the net worth as per the latest financial statements (in case of JV, each member shall meet the requirement).</li> <li>• Documentation and Attachments to be submitted as mentioned below.</li> </ul>
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

### C. Financial Status and Capacity

<b>C.1 Financial Status</b>	
Requirement:	<p>(i) The audited balance sheets and statements of profit &amp; loss / annual report for the last 5 financial years[FY 2013-14 to FY 2017-18] shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ol style="list-style-type: none"> <li>a. Positive net worth for each of the last five (5) financial years defined above;</li> <li>b. Positive profit (i.e. Profit Before Tax)for at least two(2) out of last five (5) financial years defined above;</li> <li>c. Annual Revenue<sup>3</sup>in any one (1) of the last five (5) financial years as defined above, be not less than <b>USD 16 million.</b></li> </ol> <p>In case of JVCA, requirement [a] and [b] above shall be met by each member and requirement under [c], each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit and other financial means [independent of any contractual advance payment] sufficient to meet the project cash flow requirements of ongoing contracts,</p>

<sup>3</sup>In case of Contracts in INR, the exchange rate of 31<sup>st</sup> March of the financial year in which the contract was signed will be used to arrive at the USD equivalent.



	<p>including the present contract, net of the Applicants' other commitments. Minimum required liquid<sup>4</sup>and/or credit facilities to be made available for execution of the contract shall not be less than <b>USD 4 million</b> [Prevailing exchange rate on the date of Invitation for Prequalification (IFP) would be applied.]</p> <p>In case of JVCA, for requirement (ii) above, each member shall meet at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(iii) Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	<p>Form C.1.1 and Form C.1.3 both certified by the Statutory Auditor of the Applicant [in case of JV, for each member of the JV]</p> <p>Form C.1.2 [in case of JV, for each member of the JV]</p>
Attachments to be submitted:	<p>The Applicant and its parties shall provide copies of audited financial statements for the last five (5) years defined above. The financial statements shall:</p> <ul style="list-style-type: none"> <li>a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity [such as parent company or group member].</li> <li>b) be independently audited and certified by the Statutory Auditor/ Chartered Accountant in accordance with applicable legislation.</li> <li>c) be complete, including all notes to the financial statements.</li> <li>d) correspond to accounting periods already completed and audited.</li> </ul> <p>Supporting documents issued by the Banks for additional financial means for likely future commitments to be attested by the respective banks. These documents shall be of latest available date and shall be valid beyond the last date of submission of the PQ Application.</p>
<b>C.2 Value of ongoing contracts</b>	
Requirement	<p>➤ Aggregate annual residual value<sup>5</sup> of all ongoing contracts shall not be more than 350% of the highest annual turnover in last five (5) years as defined</p>

<sup>4</sup>Prevailing exchange rate on the deadline for submission of the applications would be applied

<sup>5</sup> Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply [Refer ITA 13.3(c)].

	above. In case of JV, this shall apply to each member. Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	➤ Form C.2.1 certified by a Chartered Accountant
Attachments to be submitted:	➤ None

## D. Experience

<b>D.1 General Experience</b>	
Requirement:	<ul style="list-style-type: none"> <li>• Experience in successful execution of e-Health projects at state-level or national level or for chain of hospital(s) in India covering software customization, integration, testing and implementation along with related hardware and operations &amp; maintenance of e-Health solutions, in the role of prime contractor, or JV member to the main client, during the last seven (7) years, starting <b>March 01, 2012 and up to application submission deadline</b> (In case of JV, each member shall meet the requirement.)</li> <li>• Documentation and Attachments to be submitted as mentioned below.</li> </ul>
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	<ul style="list-style-type: none"> <li>➤ Final Acceptance Certificate/ Completion Certificate/ Go Live certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates.</li> <li>➤ In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</li> <li>➤ Subcontractors' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</li> </ul>

D.2 Specific Experience	Refer Part I: Scope of the project for details																		
Requirement:	<p>At least the number and value of software customization, supply, integration, testing and commissioning, and operations &amp; maintenance of contracts in e-health sector as mentioned below, in the role of prime contractor or JV member<sup>6</sup> to the main client/project employer, completed between <b>March 01, 2012 and application submission deadline</b>:</p> <table><tr><th></th><th>No. of Contracts</th><th>Value<sup>7</sup> of Each Contract [in USD million]</th></tr><tr><td>Option I</td><td>3</td><td>6.40</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option II</td><td>2</td><td>8.00</td></tr><tr><td colspan="3">OR</td></tr><tr><td>Option III</td><td>1</td><td>12.80</td></tr></table> <p>The software customization, supply, integration, testing and commissioning, and operations &amp; maintenance scope under the above contracts shall demonstrate experience under state-level or national level or for chain of hospital(s) involving the following:</p> <ul style="list-style-type: none"><li>a) One successful e-health project with a Picture Archival and Communication System Solution (PACS) in a network environment for a healthcare institution;</li><li>b) The E-health project should cover at least 500 beds across hospitals/chain;</li><li>c) Centralized Health Management Information System (HMIS)</li><li>d) Server hardware along with storage and associated software</li><li>e) Network Infrastructure</li><li>f) Computer Hardware</li></ul> <p>In case of JVCA, requirement shall be met by the JVCA on a combined basis i.e. contract values of each member shall not be aggregated but number of contract(s) by individual members meeting the value fully and one or more technical aspects listed in (a) to (f) above can be aggregated to meet</p>		No. of Contracts	Value <sup>7</sup> of Each Contract [in USD million]	Option I	3	6.40	OR			Option II	2	8.00	OR			Option III	1	12.80
	No. of Contracts	Value <sup>7</sup> of Each Contract [in USD million]																	
Option I	3	6.40																	
OR																			
Option II	2	8.00																	
OR																			
Option III	1	12.80																	

<sup>6</sup>Experience shall be considered on basis of scope of work of the Applicant in that JV for the contract under reference.

<sup>7</sup>In case of contracts in INR, for contracts signed in the current financial year, the exchange rate prevalent as on the date of Invitation for Prequalification (IFP) shall be used to arrive at USD equivalent. For other contracts, the exchange rate as on 31<sup>st</sup> March of the financial year in which the contract was signed shall be used to arrive at the USD equivalent.

	<p>the requirement on number of contracts.</p> <p>Subcontractors' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p> <p>In addition to the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the value indicated under Option I above demonstrating experience similar to at least one of the technical aspects listed in (a) to (f) above.</p> <p>Documentation and Attachments to be submitted as mentioned below.</p>
Documentation to be submitted:	Form D.2.1
Attachments to be submitted:	<ul style="list-style-type: none"> <li>➤ Final Acceptance/ Completion Certificate/ Go Live certificate with one year post deployment operations &amp; maintenance experience/ Testimonial Letter issued by the Project Authority for each contract listed in Form D.2.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates.</li> <li>➤ In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</li> </ul>

#### **E. Quality Assurance**

**Result of evaluation to the following criteria may lead to full prequalification or conditional prequalification**

<b>E.1 Certified Management System</b>	
Requirement:	ISO Accreditation / Certified Quality Management System and CMMI Level 5 Certification or equivalent [Desirable]
Documentation to be submitted:	Form E.1.1
Attachments to be submitted:	Self-attested copy of ISO Accreditation / Quality Management System Certificate and CMMI Level 5 Certification or equivalent [Desirable] valid as on the

				deadline for submission of application.		
E.2 Skilled Human Resources						
Requirement:	➤ Applicant must demonstrate that it can deploy personnel for the key positions that meet the following requirements :					
	No.	Position	Nos.	Qualification	Total Work Experience (years)	Experience in similar work (years)
	1	Project Manager	1	Bachelor of Engineering/ or Bachelor of Technology/ or Master of Computer Application in Information Technology/ Computer Engineering or equivalent	8	3
	2	Application Software – Support Engineers	2		4	2
	3	Hardware – Support Engineers	4		4	2
	4	E-Health Expert	1		6	Should have implemented at least one e-Health projects in health care institution and have experience in setting up of web-enabled PACS solution.
The applicant is required to submit an undertaking to engage and deploy personnel as above						
➤ Documentation and Attachments to be submitted as mentioned below.						
Documentation to be submitted:	Form E.2.1 ➤ CVs should, inter alia, incorporate qualifications, experience in the sector and should also list professional memberships / accreditations and knowledge of languages including foreign languages, countries of work experience.					
Attachments To be submitted:	➤ The applicant is required to submit an undertaking to engage and deploy personnel as above. ➤ CVs of above mentioned staff along with copies of academic certificates mentioned therein.					

<b>E.3 Manufacturer's Authorisation</b>	
Requirement:	<ul style="list-style-type: none"> <li>In the case of an Applicant who offers to supply and/or install equipment in accordance with Part I of the Application Document that the Applicant did not manufacture or otherwise produce, the Applicant shall submit an undertaking to submit Manufacturers' Authorization(s) for all major equipment in favour of the Applicant to bid for the subsequent contract confirming warranty support, in the event of prequalification and submission of bid by the Applicant.</li> <li>Documentation to be submitted as mentioned below.</li> </ul>
Documentation to be submitted:	Form E.3.1

**NOTE:**

The Evaluation Criteria for Pre- Qualification of Applicants

<b>Sr. No.</b>	<b>Description</b>	<b>Remarks</b>
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Quality Assurance	Full or Conditional prequalification

**Part V. Forms**  
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## Application Submission Form

Date: *[insert day, month, and year]*

IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s).\_\_\_\_\_, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently blacklisted/debarred/ sanctioned in accordance with ITA 4.5. Subsequent to the deadline for Application submission, in case we are blacklisted/debarred/sanctioned, we shall immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we are currently not under default in any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd.[CIBIL] Defaulter List and any other negative list of central and state government agencies, updated from time to time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Pre qualification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are/are not (Note: strike off whichever is not applicable) facing any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)



- (g) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

### Checklist for Various Forms and Documents to be Submitted

Form No.	Details of Forms and Documents	Page no.
	NEFT acknowledgement receipt towards payment of Processing Fee	
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2*	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of the JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of the JV Member	
*	3. PAN and GST Registration Details of the JV Member	
*	4. Organizational chart of the JV Member	
*	5. List of Board of Directors with their complete designation in case of nominee directors of the JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of the JV Member	

*	7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	
*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditor of the Applicant	
C.1.2 *	Sources of Finance	
*	1. Audited Annual Reports for the last 5 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual Turnover, certified by the Statutory Auditor of the Applicant	
C.2.1 *	Ongoing Contracts (Certified by a Chartered Accountant)	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Go Live Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Go Live Certificate with one year post deployment operations & maintenance experience/ Testimonial Letters issued by the employer for each contract	

	listed in Form D.2.1	
*	2. In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.1.1	Certified Management System	
	Self-attested copy of ISO Accreditation / Quality Management System Certificate and CMMI-5 Certification	
E.2.1	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
E.3.1	Manufacturer's Authorisation (Undertaking)	
Part VI. *	Questionnaire for Applicants	

Note : i. Please insert page numbers

ii. Documents marked '\*' to be submitted for each JV member separately.

## Form A.1.1

### Applicant Information Form

[to be filled by Applicant if being a Single Entity or as Lead member of JV]

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3. 2. Included are the PAN, TIN& GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

\* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms.

## Form A.1.2

### Applicant's JV Member's Information Form

*[The following form is in addition to Form A.1.1 (in case the Applicant is a JV)], and shall be completed separately to provide information relating to each JV member*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name of the JV]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i>
Applicant JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
Applicant JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i>
Applicant JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of Articles & Memorandum of Association, Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4. 2. Included are the PAN, TIN & GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.

\* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian firms

### Form B.1.1/ B.2.1/ B.3.1

#### Past Contract Non-Performance, Pending Litigation and Litigation History

*[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Form B.1.1			
Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

Form B.2.1				
Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2				
<input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification]  Name of Employer: <i>[insert full name]</i>  Address of Employer: <i>[insert street/city/country]</i>  Matter in dispute: <i>[indicate main issues in dispute]</i>  Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i>  Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>



Form B.3.1			
Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i>  Name of Employer: <i>[insert full name]</i>  Address of Employer: <i>[insert street/city/country]</i>  Matter in dispute: <i>[indicate main issues in dispute]</i>  Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i>  Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

## Form C.1.1

### Financial Status and Capacity

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditor. Failure to comply with this requirement may result in rejection of the Application]*

*Applicant's Name: [insert full name]*

*Date: [insert day, month, year]*

*Joint Venture Member Name: [insert full name]*

*IFP No. and title: [insert IFP number and title]*

*Page [insert page number] of [insert total number] pages*

*Information pertaining to: [Insert name of JV Leader or JV member/s]*

#### Financial data

Type of Financial information in	Historic information for previous five [5] years (amount in Indian Rupees)				
	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	FY 2017-18
<b>Sources of Fund</b>					
Share Holder's Fund					
Loan Fund					
<b>Application of Fund</b>					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current assets, loans and advances					
Less: (ii) Current liabilities & provisions					
Misc. exp. to the extent not W/Off or adjusted					
Profit and Loss Account					
<b>Networth</b>					
Networth					
<b>Information from Income Statement</b>					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					
<b>Information From Cashflow Statement</b>					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

## Form C.1.2

### Sources of Finance

[The following table shall be filled in for the Applicant and each partner in case of a Joint Venture]

*Applicant's Name: [insert full name]*

*Date: [insert day, month, year]*

*Joint Venture Member Name:[insert full name]*

*IFP No. and title: [insert IFP number and title]*

*Page [insert page number] of [insert total number] pages*

*Information pertaining to:[Insert name of JV Leader or JV member/s]*

Specify sources of finance to meet the cash flow requirements for works currently in progress

A. Details of Credit Facilities available to the Applicant

Sr No	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
	Fund Based Limits			
	a.			
	b.			
	c.			
	<b>Total Fund Based</b>			
	Non-fund Based Limits			
	a			
	b			
	C			
	<b>Total Non –fund Based</b>			
	<b>Total Fund and Non-Funds Based Limits</b>			

B. Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Sr No	Type of Liquid Assets	Amount
1.		
2.		
3.		

**Financial documents**

The Applicant and its parties shall provide copies of audited financial statements for last five years [FY 2013-14 to FY 2017-18] as defined in Part IV, Prequalification Criteria and Requirements, Sub-factor

C.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV for each member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.

- ☐ Attached are copies of financial statements for the **five** years required above; and complying with the requirements.
- ☐ Please note that printed Audited Annual Reports are to be certified by the Statutory Auditors duly signed and stamped.
- ☐ Please submit Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements.

**Form C.1.3**  
**Annual Turnover**

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to : *[Insert name of JV leader or JV member/s]*

Year	Amount (INR)			Exchange rate*	USD equivalent
	Sales in India (A)	Overseas Sales (B)	Total Turnover (C= A+B)		
<i>FY 2013-14</i>					
<i>FY 2014-15</i>					
<i>FY 2015-16</i>					
<i>FY 2016-17</i>					
<i>FY 2017-18</i>					

\* Refer ITA 13 for source of exchange rate. Exchange rate prevalent on 31<sup>st</sup> March shall be used to calculate turnover for respective financial year

# Form C.2.1

## Ongoing Contracts

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to : *[Insert name of JV leader or JV member/s]*

Contract Identification	Role of Applicant	Start Date	Contractual Completion Date	Intended/ Revised Completion Date	Residual period for completion	Residual value of Work	Annual Residual value of Work
1	2	3	4	5	6	7	8
Contract Ref:  Brief Title of the works:  Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i>  Name & Address of Employer:	<i>[insert "Prime Contractor" or "JV Member"]</i>				<i>[Insert period in years starting from Application Submission Deadline. In case less than a year, value to be considered shall be 1]</i>	<i>[Insert value in US\$ equivalent including amount billed but pending payment up to deadline for submission of Application]</i>	<i>[Insert value in US\$ equivalent]</i>  <i>[7 divide by 6]</i>
							<i>[Insert Total for all Contracts]</i>

\* Exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall apply to ascertain residual value in equivalent USD. Refer ITA 13.3 for source of exchange rate

## Form D.1.1

### General Experience

*[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

*[Identify contracts that demonstrate similar experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting dates and attach Final Acceptance Certificates.)]*

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>		<i>[insert "Prime Contractor " or "JV Member"]]</i>

\* Refer ITA 13 for date and source of exchange rate

\* Final Acceptance Certificate / Completion Certificate / Go Live Certificate/ Testimonial Letters issued by the Employer / to be attached for each contract in an orderly fashion

## Form D.2.1

### Specific Experience

*[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor D.2.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

### Information

Similar Contract No.	<i>[insert number] of [insert number of similar contracts required]</i>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mm/yyyy]</i>		
Completion date	Contractual:		Actual: <i>[dd/mm/yyyy]</i>
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>		Member in JV <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics and technical aspects listed in Sub-Factor D.2]</i>		
<b>For the above contract, mention the following attributes</b>			
1. Capacity/ Physical size of key works items	<i>[insert capacity/ physical size of key work items]</i>		
2. Complexity	<i>[insert description of complexity in accordance with the technical aspects mentioned under Part IV D.2]</i>		
3. Methods/ Technology	<i>[insert specific aspects of the methods/ technology involved in the contract]</i>		
4. Rate of execution for key items	<i>[insert execution rates for key items]</i>		
5. Other Characteristics	<i>[insert other characteristics as appropriate]</i>		



*Note: Rate implies the quantity executed in unit time e.g. cubic meters of earthwork per annum, etc.*

\* Refer ITA 13 for date and source of exchange rate.

\* Final Acceptance Certificate /Completion Certificate / Go Live Certificate with one year post deployment operations & maintenance experience / Testimonial Letters issued by the Employer to be attached for each contract, in an orderly fashion

### Form E.1.1

#### Certified Management System

*[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to :*[Insert name of JV leader or JV member/s]*

ISO Accreditation/ Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
[indicate the quality management system accreditation]	[indicate certificate identification number and mention which process or discipline has been certified and inclusions]	[dd/mmm/yyyy]	[dd/mmm/yyyy]	[indicate the name of the certifying organization and contact details]

Copy of ISO /CMMI-5 Certificate(s) to be attached

## Form E.2.1

### Skilled Human Resources

*[Furnish details for key staff pursuant to Part IV, Pre-qualification Criteria and Requirements, Sub-Factor E.3. In case of JVCA, this shall be on a combined basis]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy following key staff, listed in E.2:

Position	Name of key staff	Academic Qualification	Specialization	Years of Experience	Experience in Similar Works	Experience in Countries

*[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]*

### **Form E.3.1**

#### **Manufacturer's Authorisation (Undertaking)**

*[The following form shall be filled in for the Applicant and in the case of a JV Applicant, on combined basis by the lead partner]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to : *[Insert name of JV leader or JV member/s]*

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour:

- (a) to bid for the supply of equipment for the tender under reference;
- (b) confirming guarantee/ warranty support for the equipment bid for by us; and
- (c) confirming that the equipment being bid for to be supplied shall be new and unused.

*[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]*

### Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in by each partner separately]*

IFP No.: *[insert IFP No.]*

<b><u>Eligibility, Confirmation and Other Important Information</u></b>	<b><u>Response*</u></b>
1. Is your firm, either singly or in joint venture currently empaneled or prequalified as a supplier/EPC contractor.  If yes, please provide details of Client, Country and Scope of project. Attach additional sheets, if needed.	Confirm:  Yes___/No ____
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper.  Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm:  Yes___/No ____
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm:  Yes___/No ____
4. Has your firm been suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes___/No ____
5. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes___/No ____
6. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes___/No ____
7. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes___/No ____
8. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations (ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm	Confirm:  Yes___/No ____  Yes___/No ____  Yes___/No ____

(iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Yes___/No _____
9. Please confirm that you agree to the provisions of the Government of India guidelines dated 7 <sup>th</sup> December 2015 on IDEAS Lines of Credit	Confirm: Yes___/ No ____

*\* Note: To confirm, print "Yes", else "No"*

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*(Signature)*

*[Name of Authorized Signatory]*

*[Title of Authorized Signatory]*

Date: